

I. INTRODUCTION

Caterpillar's Extended Service Coverage for On-Highway Vehicle Engine Service Contract, herein referred to as "service contract", for new vehicles powered with Caterpillar engines is an important part of Caterpillar's continuing effort to provide Caterpillar Vehicle Engine Owners with superior value and product support. This service contract provides the Owner assurance against unexpected repair costs for covered component failures due to defects in materials or workmanship under normal use.

II. TERMS AND CONDITIONS

This service contract is available for new vehicles powered with Caterpillar Mid-Range and Heavy Duty On-Highway Vehicle Engines, herein referred to as "new vehicle(s)". This service contract is only available for use within the continental boundaries of the United States and Canada.

The Owner should purchase this service contract on the original delivery date of the new vehicle at a Caterpillar authorized dealer herein referred to as "authorized dealer". The applicable start mileage and date must be recorded on this Service Contract.

This service contract runs concurrently with the Caterpillar On-Highway Vehicle Engine Warranty and provides full components and labor coverage for covered components failures due to defects in Caterpillar materials or workmanship under normal use. Expiration of this contract will occur when the time or mileage from the original delivery date exceeds the coverages as specified on this Service Contract.

All components listed below are covered under these programs except the applicable exclusions listed under Section VI, Exclusions & Limitations:

A. MID-RANGE EXTENDED SERVICE COVERAGE (ESC II)

ESC II for Mid-Range is available in two different deductibles:

- Option 1 Caterpillar will pay 100% of the components and labor charges for covered failures, with no deductible charges.
- Option 2, Caterpillar will pay 100% of the components and labor charges for covered failures, less a \$500 deductible charge per service visit.

The following components are covered under the Mid-Range ESC II: exhaust manifolds, studs, & gaskets, inlet air heater & relay, intake manifolds, cylinder head casting, exhaust/injector sleeves, cylinder head bolts, cylinder head gaskets, freeze plug, intake & exhaust valves, valve spring, insert guide, rotocoil, & retainer, valve mechanism (including rocker arm, brackets, bridges, dowels, adjusting screws, nuts, shaft, & push tubes), valve cover & base, camshaft, camshaft bearings, camshaft lifter assembly (followers clips), front covers & plates, front cover gaskets, front cover gears and power steering gear, flywheel housing, flywheel housing gasket, cylinder block casting, freeze plug, crankshaft, crankshaft - rod, main, & thrust bearings, connecting rod assembly & bushing, piston (wrist pin, retainer clip, & piston rings), oil jet tube, main bearing cap bolt, crossover tubes (3126), fuel injection pump & governor, fuel injection pump mounting seal, fuel ratio control, fuel lines, timing advance, control rack (3116), oil pan, oil pump, oil cooler housing, oil cooler core, oil filter base, HEUI high pressure oil lines, oil pump, & injector actuation pressure control valve, thermostat housing cover, water pump housing, water manifold, control module (ECM), sensors (boost pressure, atmospheric intake manifold air temp, oil pressure, coolant temperature, rack & timing, fuel temperature, engine speed, & rack position), throttle position/pedal sensor, speed timing sensor.

B. HEAVY-DUTY EXTENDED SERVICE COVERAGE (ESC I)

- Caterpillar will pay 100% of the components and labor charges for covered failures, with no deductible charges.

The following components are covered under the Heavy-Duty ESC I: intake manifolds, cylinder head casting, exhaust/injector sleeves, cylinder head bolts, cylinder head gaskets, freeze plug, spacer plate (block & head), spacer plate gasket, intake & exhaust valves, valve spring, insert guide, rotocoil, & retainer, valve mechanism (including rocker arm, brackets, bridges, dowels, adjusting screws, nuts, shaft, & push tubes), camshaft, camshaft bearings, camshaft lifter assembly (including (followers clips), front covers & plates, front cover gaskets, front cover gears & power steering gear, flywheel housing, flywheel housing gasket, cylinder block casting, spacer block (3176), freeze plug, crankshaft casting, crankshaft - rod, main, & thrust bearings, connecting rod assembly & bushing, piston (wrist pin, retainer clip, & piston rings), oil jet tube, cylinder liner, cylinder liner seals, cylinder liner filler band, main bearing cap bolt, fuel injection pump mounting seal, timing gears, oil pump, oil cooler housing, thermostat housing cover, water pump housing, control module (ECM).

C. HEAVY-DUTY EXTENDED SERVICE COVERAGE (ESC II)

All components listed under Heavy-Duty Extended Service Coverage I are covered plus the following:

Exhaust manifold studs and gaskets, inlet air heater relay, valve cover and base, fuel injection pumps and governor, fuel ratio control, fuel lines, timing advance, oil pan, oil cooler core, oil filter base, water manifold, and shut-off solenoid.

D. HEAVY-DUTY EXTENDED SERVICE COVERAGE (ESC Plus)

- Caterpillar will pay 100% of the components and labor charges for covered failures, with no deductible charges.

All components listed under Heavy-Duty Extended Service Coverage I and Heavy-Duty Extended Service Coverage II are covered plus the following: control module (ECM), vibration damper, road speed buffer, sensors (boost pressure, atmospheric manifold air temp, oil pressure, coolant temperature, rack & timing, fuel temperature, engine speed, & rack position), throttle position/pedal sensor, speed timing sensor.

Expiration of this Service Contract will occur when the time, miles, or hours, whichever occurs first, from the original delivery date of the engine exceeds the coverage limits as specified on this Registration Certificate.

III. CATERPILLAR'S RESPONSIBILITIES

Caterpillar, during normal working hours at a place of business of an authorized dealer, will pay 100% of the components and labor charges, minus any applicable deductible, for the repair of covered component failures during the coverage period when caused by defects in materials or workmanship under normal use.

Caterpillar will provide (at Caterpillar's choice) either new, remanufactured or repaired components when replacing or repairing any covered components which fail due to defects in materials or workmanship under normal use. Further, Caterpillar will also pay the components and labor charges for any engine component which is rendered unserviceable by the failure of a covered component.

Caterpillar will restore the engine to its operating condition prior to failure by repairing/replacing only the defective components and consequential damaged components necessary to remove/repair/install the defective components. Other parts removed in the process of the repair will be reinstalled as is, unless the Owner authorizes the additional expense to repair or replace.

Caterpillar will also pay the reasonable costs of any expendables or consumables, including but not limited to, lube oil, filter elements, hoses, vee-belts, gaskets and seals which are made unusable as a result of a covered component failure.

IV. DEALER'S RESPONSIBILITIES

The authorized dealer accepts full liability for incorrect, invalid or late enrollments. Registrations will be accepted up to one hundred and eighty (180) days from original delivery date of sale at the published price levels. All registrations made by the authorized dealer after the initial enrollment period, and up to one year from original delivery date, will be charged an

V. OWNER'S RESPONSIBILITIES

The Owner shall operate and maintain the engine according to the guidelines and recommendations as specified in the appropriate Caterpillar Vehicle Engine Operation & Maintenance Management Guide. The Owner shall provide proof of compliance with the Maintenance Schedules, such as receipts or copies of work orders or invoices from authorized dealers showing the maintenance and services performed.

In the event of a covered component failure, the Owner must promptly make the engine available to an authorized dealer for repair and provide proof of this service contract registration by presenting the customer copy of the Registration Certificate.

The Owner is responsible for all costs not covered by this service contract as specified in Section VI, Exclusions and Limitations.

VI. EXCLUSIONS & LIMITATIONS

This service contract does not cover component failures caused by:

- dealer workmanship on subsequent repairs and dealer workmanship on repairs made to non-covered components.
- non-covered components (including bolts, clamps, and other fasteners that attach non-covered components to the engine).
- operator abuse, neglect, improper operation or accident.
- non-Caterpillar attachments, accessories and parts (any engine part that is not identified by a Caterpillar part number), including, but not limited to: engine compression and exhaust brakes, fans, radiators, air to air aftercooler cores, air conditioning compressors, clutches, filters, transmissions, torque convertors, steering pumps, hoses, belts and clamps.
- an application or installation not approved by Caterpillar.
- normal wear out, including but not limited to oil consumption, chemical/mechanical erosion and/or leaking seals or gaskets.
- unauthorized repairs or adjustments, including but not limited to: improper fuel setting and valve lash adjustments.
- repairs or alterations made by an unauthorized dealer.
- brakesaver unless specified as option on contract.
- fuel transfer pump, fuel priming pump, unit injectors and fuel nozzles, thermostat, starters, alternators, turbocharger, air compressor, electronic connectors and wiring.
- steel shims and cast iron block inserts.
- acts of God, war, vandalism, riot, theft, explosion, and any other act of nature or man.
- failure to follow maintenance procedures and scheduled component inspections/replacements as specified in the Caterpillar Vehicle Engine Operation and Maintenance Management Guide.

This service contract also does not pay for:

- normal preventative maintenance and scheduled component inspections/replacements as defined in the Caterpillar Vehicle Engine Operation and Maintenance Management Guide, including but not limited to valve lash adjustments, inspections, Scheduled Oil Sampling and maintenance items such as lube oils, filters, belts and hoses.
- performance complaints, including but not limited to, any adjustments to fuel settings, PAR tests, or programming of the Electronic Control Module.
- reimbursement for any travel or towing, or overnight lodging or meals or communications expenses and any other downtime or downtime-related expenses cargo damage or economic loss.
- any and all taxes.
- parts shipping charges.

VII. TRANSFER OF COVERAGE

The remaining coverage of this service contract may be transferred to subsequent owners during the coverage period at no extra charge, provided the new owner of the vehicle presents a copy of the current Registration Certificate to an authorized dealer within ten (10) days of the transfer of the vehicle title. Remaining coverage cannot be transferred from a covered engine to a non-covered engine.

VIII. REFUNDS

Any and all service contract fees are non-refundable.

IX. DISCLAIMERS

CATERPILLAR'S RESPONSIBILITIES UNDER THIS SERVICE CONTRACT ARE LIMITED TO THE PROVISION OF MATERIALS AND LABOR AS SPECIFIED HEREIN.

CATERPILLAR DISCLAIMS ANY EXPRESS OR IMPLIED WARRANTIES IN CONNECTION HEREWITHE, INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

REMEDIES UNDER THIS SERVICE CONTRACT ARE LIMITED TO THE PROVISION OF MATERIALS AND LABOR AS SPECIFIED HEREIN.

CATERPILLAR IS NOT RESPONSIBLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES.

REPLACEMENT PARTS FURNISHED UNDER THE TERMS OF THIS SERVICE CONTRACT ARE COVERED UNDER THE APPLICABLE REPLACEMENT PARTS WARRANTY.

THIS SERVICE CONTRACT DOES NOT SUPERSEDE THE EMISSION WARRANTY FOR EMISSION-RELATED COMPONENTS.

MISREPRESENTATION OF THE ENGINE'S ELIGIBILITY FOR COVERAGE, OR THE ACTUAL ACCUMULATED MILEAGE, HOURS, OR AGE SHALL RESULT IN CANCELLATION OF THIS SERVICE CONTRACT BY CATERPILLAR WITH NO REFUND. CATERPILLAR SHALL BE ENTITLED TO ALL OTHER REMEDIES.

**Caterpillar On-Highway Vehicle Engine
Extended Service Coverage
Registration Form**

For Multiple Unit Registrations

Date 2-7-00TECI # 433-17

Model	H/P	Engine S/N	Vehicle ID #	Unit #	Del. Date	Start Miles
1 C-12	380/4810	245327752	ES7160	6000	01-15-00	1,200
2	245 27857	ES7163	6000	12-08-99	6,600	
3	245 27878	ES7162	6000	01-15-00	7,000	
4	245 27866	ES7161	6000	01-15-00	7,500	
5	245 27844	ES7165	6000	12-08-99	7,500	
6	245 27855	ES7170	6000	01-15-00	7,500	
7	245 27878	ES7177	6000	01-15-00	7,500	
8	245 27843	ES7180	7100	01-15-00	6,000	
9	245 27865	ES7174	7400	01-15-00	7,000	
10	245 27877	ES7168	7500	01-15-00	6,000	
11	245 27877	ES7161	7600	12-08-99	6,600	
12	245 28268	ES7175	7700	01-15-00	6,000	
13	245 27861	ES7171	7800	01-15-00	7,500	
14	245 27756	ES7169	7900	01-15-00	7,500	
15	245 27857	ES7172	8000	01-15-00	7,000	
16	245 28276	ES7167	8100	01-15-00	7,500	
17	245 27704	ES7173	8200	01-15-00	6,700	
18	245 27753	ES7176	8400	01-15-00	6,600	
19	245 27731	ES7166	8600	12-08-99	7,100	
20	245 27873	ES7164	8500	01-15-00	6,000	

This form is to be used as an addendum to On-Highway Vehicle Registration Certificate (LELT6573) which identifies specific terms, conditions, and component coverages.

LELT8361-01

Case 1:04-cv-11836-RCL		Document 55-6		Filed 06/03/2005	
ITEM	PROGRAM START DATE	HEAVY DUTY	MED DUTY	HEAVY DUTY	MED DUTY
MED DUTY	31831263208	31833083118	31833083108	31833083008	31833083208
	C-10,C-12,C-15	C-10,C-12,C-15,C-16	C-10,C-12,C-15,C-16	C-10,C-12,C-15,C-16	C-10,C-12,C-15,C-16
ESC II	May 1198	ESC II	ESC II	ESC PLUS	ADVANTAGE HD
	Aut.1.1999	Aut.1.1998	Aut.1.1999	Aut.1.1999	Aut.1.1999
FRONT AND REAR COVERS 150	Oct.11995	May 1198	Aut.1.1999	Aut.1.1999	Aut.1.1999
Cylinder Head Casting	YES	NO	NO	YES	YES
Exhaust Manifolds, Studs/Bolts, & Gaskets	NO	NO	NO	NO	NO
Flat Air Heater & Relay	YES	YES	YES	YES	YES
Turbo (Includes Seals, Lines, & Gaskets)	NO	NO	NO	NO	NO
Intake Manifolds	YES	YES	YES	YES	YES
CYLINDER HEAD 100	YES	YES	YES	YES	YES
Cylinder Head Casting	YES	YES	YES	YES	YES
Exhaust Sleeves & Sleeve Seats	YES	YES	YES	YES	YES
Cylinder Head Bolts	YES	YES	YES	YES	YES
Cylinder Head Gasket	YES	YES	YES	YES	YES
Freeze Plug	YES	YES	YES	YES	YES
Spacer Plate, Gasket, & Spacer Deck (Block to Head)	NA	NO	NO	NO	NO
Spacer Deck O-Rings	NO	NO	NO	NO	NO
Int. & Exh. Valves/Seals/Seals	YES	YES	YES	YES	YES
Valve Spring, Insert, Guide	YES	YES	YES	YES	YES
Valve Collars & Retainer	YES	YES	YES	YES	YES
Valve Mechanism (Includes Rocker-Arm, Brackets, Bridges, Dowels, Adjusting Screws Nuts, Shaft, & Push Tubes)	YES	NO	NO	NO	NO
Valve Cover & Base Gasket	NO	NO	NO	NO	NO
Valve Cover Gasket & Base Gasket	YES	YES	YES	YES	YES
Cams/Shaft	YES	YES	YES	YES	YES
Cams/Shaft Bearings	YES	YES	YES	YES	YES
Cams/Shaft Lifter Arms (Followers & Clips)	YES	YES	YES	YES	YES
Cams/Shaft Rear Cover/Seal	NA	NA	NA	NA	NA
Cams/Shaft Follower/Seals & Gaskets	NO	NO	NO	NO	NO
FRONT AND REAR COVERS 150	YES	YES	YES	YES	YES
Front Cover, Front Housing & Plate	YES	YES	YES	YES	YES
Front Cover/Front Housing & Plate Gaskets	YES	YES	YES	YES	YES
Front Cover Gears, Bearings & Relainers	YES	YES	YES	YES	YES
Subshaft	YES	YES	YES	YES	YES
Subshaft Bolts	NO	NO	NO	NO	NO
Crankshaft Seal (Front or Rear)	NO	NO	NO	NO	NO
Flywheel Housing	YES	YES	YES	YES	YES
Flywheel Housing Gaskets	YES	YES	YES	YES	YES
Vibration Damper	NO	NO	NO	NO	NO
SHORT BLOCK 1200	YES	YES	YES	YES	YES
Cylinder Block Casting	YES	YES	YES	YES	YES
Spacer Block (117)	NO	NO	NO	NO	NO
Spacer Block O-Ring	YES	YES	YES	YES	YES
Freeze Plug	YES	YES	YES	YES	YES
Crankshaft Casting	YES	YES	YES	YES	YES
Crankshaft Bearings, Rod, Main, and Thrust	YES	YES	YES	YES	YES
Connecting Rod Assembly & Bushing	YES	YES	YES	YES	YES
Connecting Rod Assembly & Bushing	YES	YES	YES	YES	YES
Piston, Crown, Pin, & Crown Bushing	YES	YES	YES	YES	YES
Wrist Pin, Retainer Clip, and Piston Ring	YES	YES	YES	YES	YES
Oil Jet Tube	NO	NO	NO	NO	NO
Cylinder Liner	YES	YES	YES	YES	YES
Main Bearing Cap Bolt	YES	YES	YES	YES	YES

Information contained in this publication may be considered confidential. Discretion is recommended when distributing.

Sheet
5

CATERPILLAR ON-HIGHWAY VEHICLE ENGINE EXTENDED SERVICE COVERAGE FORM						
1	6000	C-12	380/430	2KS27780	F57160	1/18/00
2	6100	C-12	380/430	2KS27897	F57163	1/18/00
3	6200	C-12	380/430	2KS27878	F57162	1/18/00
4	6300	C-12	380/430	2KS27936	F57181	1/18/00
5	6700	C-12	380/430	2KS27904	F57165	1/18/00
6	6800	C-12	380/430	2KS27955	F57170	1/18/00
7	6900	C-12	380/430	2KS28378	F57179	1/18/00
8	7100	C-12	380/430	2KS27844	F57180	1/18/00
9	7400	C-12	380/430	2KS27905	F57174	1/18/00
10	7500	C-12	380/430	2KS27999	F57168	1/18/00
11	7600	C-12	380/430	2KS27877	F57161	1/18/00
12	7700	C-12	380/430	2KS28368	F57175	1/18/00
13	7800	C-12	380/430	2KS27861	F57171	1/18/00
14	7900	C-12	380/430	2KS27956	F57169	1/18/00
15	8000	C-12	380/430	2KS27857	F57172	1/18/00
16	8100	C-12	380/430	2KS28276	F57167	1/18/00
17	8300	C-12	380/430	2KS27914	F57173	1/18/00
18	8400	C-12	380/430	2KS27953	F57178	1/18/00
19	8500	C-12	380/430	2KS27893	F57164	1/18/00
20	8600	C-12	380/430	2KS27931	F57166	1/18/00
21	9700	C-12	380/430	2KS27835	F57176	1/18/00
22	9800	C-12	380/430	2KS27791	F57177	1/18/00